

**Annexure-B**

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

**Data for every month ending**

S N	Receiv ed from	Carried forward from previous month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resolutio n time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directl y from Investors	0	0	0	0	0		0
2	SEBI (SCOR ES)	0	0	0	0	0		0
3	Stock Exchang es	0	0	0	0	0		0
4	Other Source s (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward From previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	OCTOBER 2022	0	0	0	0
2	NOVEMBER 2022	0	0	0	0
3	DECEMBER 2022	0	0	0	0
4	JANUARY 2023	0	0	0	0
5	FEBRUARY 2023	0	0	0	0
6	MARCH 2023	0	0	0	0
7	APRIL 2023	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward From previous year	Received during the year	Resolved During the year	Pending at The end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
	<b>Grand Total</b>	0	0	0	0